NCCSD State Lessons Learned Webinar Series – Oct. 22, 2021
Certification/Prioritized Questions

Certification

CERTIFICATION - Timing and Process of Certification:

At what point did you start focusing on the Certification Guide's Appendix A Guidance in Preparing for Certification Reviews and discussing the certification process with OCSE? Was it early enough?

How did your implementation and rollout approach integrate with certification (e.g., if you were taking an agile approach, did OCSE review modules incrementally also, or did they do a full Phase 1 review at implementation)?

When did the Phase 1 vs. Phase 2 visits occur?

What steps did you take to prepare the local offices, state disbursement unit, and/or your data center?

CERTIFICATION - IRS and SSA Compliance:

How did the timing of your IRS and SSA reviews fit with your system implementation and certification timing?

What were those agencies' expectations for documentation, etc.?

CERTIFICATION - Preparing Certification Documentation:

At what point did you focus on understanding the certification requirements and how they trace to federal regulation and law, and was that early enough?

Were there any certification requirements that created issues with state law or practice and how were they resolved?

How did you prepare for and run the Financial Distribution Test Deck? Were there any Test Deck scenarios where you expected issues because of state specific options, etc.?

Who (state or vendor) was responsible for writing the Certification response document and gathering any supporting documentation?

Was there anything for which OCSE asked that was a surprise?

Were there any variations from the Certification Guide's Appendix A Guidance in Preparing for Certification Reviews?

When should document preparation start?

What did you find were the best ways to trace the system functionality to the Certification Requirements?